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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/642,924	
	Filing Date	August 18, 2003	
	First Named Inventor	George Yaluris	
	Group Art Unit	1764	
	Examiner Name	William G. Wright	
Total Number of Pages in This Submission	7	Attorney Docket Number	W-9556-02

ENCLOSURES <i>(check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449 and a Return Post Card _____ _____ _____
Remarks _____ _____		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Beverly J. Artale
Signature	
Date	April 29, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Linda L. Burton	Date	April 29, 2004



Attorney Docket No. W-9556-02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	Before the Examiner: William G. Wright
George Yaluris	)	
	)	
Serial No.: 10/642,924	)	Group Art Unit: 1764
	)	
Filed: August 18, 2003	)	
	)	
For: NOx Reduction Compositions For	)	
Use in FCC Processes	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

Pursuant to 37 CFR § 1.8, I hereby certify that I have a reasonable basis to expect that this correspondence will be deposited with the United States Postal Service on or before the date indicated as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 29, 2004

Date

*Linda L. Burton*  
Signature

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**  
**LETTER/PETITION FOR CONSIDERATION UNDER 37 CFR 41.97**

1. Enclosed is:

- a. ☒ Information Disclosure Statement Form No. PTO-1449 and copies of references where indicated.
- b. ☐ Copy of the European Search Report dated \_\_\_\_\_ on European Application No. \_\_\_\_\_ which is:
- ☐ The European counterpart of the above-identified U.S. application or one of its Parental ancestors, i.e. USSN \_\_\_\_\_, filed \_\_\_\_\_
- ☐ The European counterpart of related USSN \_\_\_\_\_, filed \_\_\_\_\_

- c. ☐ Copy of the PCT Search Report dated \_\_\_\_\_ on PCT Application No. \_\_\_\_\_ which is:
- ☐ The PCT counterpart of the above-identified U.S. application or one of its Parental ancestors, i.e. USSN \_\_\_\_\_, filed \_\_\_\_\_
- ☐ The PCT counterpart of related USSN \_\_\_\_\_, filed \_\_\_\_\_
- d. ☐ Copy of the \_\_\_\_\_ Search Report dated \_\_\_\_\_ on the corresponding \_\_\_\_\_ counterpart of the above-identified U.S. application.  
(country)
- e. ☐ Explanatory comments attached hereto as Appendix 1.
- f. ☒ COPIES (check at least one box)
- ☒ A legible copy of (i) each ☒ US\* ☐ foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed. (\*Copies Of US Patents do **not** have to be provided for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003).
- ☐ Some or all of the documents listed on the PTO 1449 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.
- g. ☒ Concise Explanation Of Relevance  
(check at least one box)
- ☐ Documents Not In The English Language
- ☐ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- ☐ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as attached.
- ☒ Documents In The English Language  
All of the patents, publications or other information are in the English language. No statement of relevancy is required.
- ☐ English Language Search Report  
An English language version of the search report or action which indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).
- ☐ Other  
The following additional information is provided for the Examiner's consideration.

- II. ☒ The Examiner is requested to consider the references cited in the attached Information Disclosure Statement without a fee or certification because it was filed:
- ☐ within three months of the filing date of this national application, other than a continued prosecution application under 1.53(d);
- ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
- ☒ before the mailing date of a first Office Action on the merits; or

III. ☐ The Examiner is requested to consider the attached Information Disclosure Statement pursuant to 37 CFR §1.97(c) in that it is being filed after the periods specified in Paragraph II above, but before the first to occur of the mailing date of either:

- ☐ Final Action under 37 CFR § 1.113; or
- ☐ Notice of Allowance under 37 CFR § 1.311; or
- ☐ An action that otherwise closes prosecution in the application;

and in support of such request the undersigned either:

- ☐ has made the certification hereinbelow at Paragraph IVB pursuant to 37 CFR § 1.97(e); or
- ☐ is paying a petition fee under 37 CFR § 1.17(p) in the amount of \_\_\_\_\_ (Fee Code 1806)

IVA. ☐ The Examiner is requested to consider the attached Information Disclosure Statement pursuant to 37 CFR §1.97(d) in that it is being filed before the payment of the Base Issue Fee and after the mailing date of any:

- (1) Final Action under 37 CFR § 1.113;
- (2) Notice of Allowance under 37 CFR § 1.311; or
- (3) An action that otherwise closes prosecution in the application.

and in support of said request the undersigned:

- (i) has made the certification hereinbelow at Paragraph IVB pursuant to 37 CFR § 1.97(e); and
- (ii) is paying a petition fee under 37 CFR § 1.17(P) in the amount of \$180.00.

IVB. Certification Under 37 CFR § 1.97(e)  
[applicable to only III or IV above]

☐ The undersigned hereby certifies that for each item of information contained in the Information Disclosure Statement it was either:

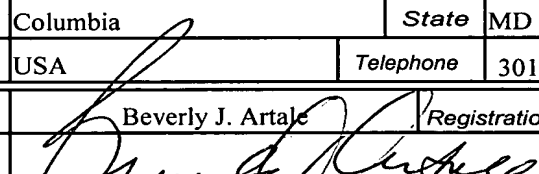
- (1) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- (2) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned upon making reasonable inquiry, was not known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

- V. ☒ The Examiner is requested to make the art of record in the following parental U.S. applications relied upon for an earlier filing date under 35 USC § 120, of record in the present above-identified application.

U. S. Serial No.	Filing Date
10/274,811	October 21, 2002

VI. Fee Payment

- ☐ Petition fee under 37 CFR §1.17(p) to consider Information Disclosure Statement under 37 CFR § 1.97(c) and (d) is \_\_\_\_\_ (Fee Code 1806)
- ☐ Charge \_\_\_\_\_ to Deposit Account No. \_\_\_\_\_
- ☐ The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required by this paper, or credit any overpayment, to Deposit Account No. \_\_\_\_\_  
A duplicate copy of this Form is enclosed.
- ☐ A check in the amount of the fee is enclosed.
- ☒ No fee due.

CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label	000030633			or <input type="checkbox"/> Correspondence address below	
Insert Customer No or Attach Bar Code Label here					
Name	Beverly J. Artale				
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	7500 Grace Drive				
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Name (Print / Type)	Beverly J. Artale		Registration No. (Attorney/Agent)		32366
Signature				Date	April 29, 2004

REV.1/1/2004

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

W9556-02

SERIAL NO

10/642,924

Page 1 of 2

APPLICANT

YALURIS, George et al.

FILING DATE

8/18/2003

GROUP

3944

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	Copy Submitted
	2001/0016184	8/23/2001	Campbell et al.	423	244.06	4/4/2001	<input type="checkbox"/>
	4,427,536	1/24/1984	Klaassen et al.	208	113	8/13/1982	<input type="checkbox"/>
	4,434,147	2/28/1984	Dimpfl et al.	423	235	6/10/1982	<input type="checkbox"/>
	4,473,658	9/25/1984	Schwartz	502	45	8/17/1983	<input type="checkbox"/>
	4,812,431	5/14/1989	Child	502	42	8/12/1987	<input type="checkbox"/>
	4,839,026	6/13/1989	Brown et al.	208	120	6/28/1985	<input type="checkbox"/>
	4290878	9/22/1981	Blanton, Jr.	208	120	05/05/1980	<input type="checkbox"/>
	4368057	1/11/1983	Matthews	47	197	10/14/1980	<input type="checkbox"/>
	4744962	5/17/1988	Johnson et al.	423	235	07/22/1987	<input type="checkbox"/>
	4755282	7/5/1988	Samish et al.	208	113	07/22/1987	<input type="checkbox"/>
	4923842	5/8/1990	Summers, Jack C.	502	261	10/11/1988	<input type="checkbox"/>
	4973399	11/27/1990	Green et al.	208	120	12/21/89	<input type="checkbox"/>
	5,413,699	5/9/1995	Chou	208	113	10/14/1993	<input type="checkbox"/>
	5,716,514	2/10/1998	Buchanan	208	113	8/30/1995	<input type="checkbox"/>
	5,830,346	11/3/1998	Harandi et al.	208	113	8/30/1995	<input type="checkbox"/>
	5015362	5/14/1991	Chin, Arthur A.	208	121	12/28/1989	<input type="checkbox"/>
	5021144	6/4/1991	Altrichter	208	113	02/28/1989	<input type="checkbox"/>
	5057205	10/15/1991	CHIN, Arthur A.	208	121	6/10/1988	<input type="checkbox"/>
	5547648	8/20/1996	BUCHANAN, John S. et al.	423	210	5/5/1995	<input type="checkbox"/>

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ATTY. DOCKET NO.

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SERIAL NO

W9556-02

10/642,924

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

YALURIS, George et al.

FILING DATE

8/18/2003

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3944

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	Copy Submitted
	6027696	2/22/2000	DAS, Asit Kumar et al..	422	144	4/11/1997	<input type="checkbox"/>
	6129834	10/10/2000	Peters et al.	208	120.01	4/9/97	<input type="checkbox"/>
	6143167	11/7/2000	Peters et al.	208	113	04/28/1997	<input type="checkbox"/>
	6165933	12/26/2000	Peters et al.	502	330	04/10/1997	<input type="checkbox"/>
	6280607	8/28/2001	Peters et al.	208	120.01	07/20/2000	<input type="checkbox"/>
	6492297 B1	12/10/2002	SUNG, Shiang	502	304	9/15/2000	<input type="checkbox"/>

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.